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PATENT APPLICATION
Docket No: 15436.133.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

	Johnny Zhong, et al.)
Serial No.:	10/712,066) Art Unit
Confirmation No.:	Unknown) Unknown
Filed:	November 13, 2003)
For:	ATHERMAL FUSED COUPLER PACKAGE FOR OPTICAL FIBERS)
Examiner:	Unknown)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

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Applicant(s): Johnny Zhong, et al.

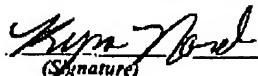
Docket No.

15436.133.1

Application No.
10/712,066Filing Date
November 13, 2003Examiner
UnknownGroup Art Unit
Unknown

Invention: A THERMAL FUSED COUPLER PACKAGE FOR OPTICAL FIBERS

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(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
15436.133.1

In Re Application Of: **Johnny Zhong, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/712,066	November 13, 2003	Unknown	022913	Unknown	Unknown

Title: ATHERMAL FUSED COUPLER PACKAGE FOR OPTICAL FIBERS

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37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
 - OR
 - the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.133.1

In Re Application: Johnny Zhong, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/712,066	November 13, 2003	Unknown	022913	Unknown	Unknown

ATHERMAL FUSED COUPLER PACKAGE FOR OPTICAL FIBERS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
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R. BURNS ISRAEISEN
 Attorney for Applicant
 Registration No. 42,685

Dated: July 31, 2004

CC:

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED this 31 day of July, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: kjn
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Form PTO-1449

Applicant: Johnny Zhong, et al.
 Serial No.: 10/712,066
 Filing Date: November 13, 2003
 For: ATHERMAL FUSED COUPLER PACKAGE FOR
 OPTICAL FIBERS

Sheet 1 of 2

Atty Docket No.: 15436.133.1
 Group: Unknown

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue/Publication Date</u>	<u>Name</u>
____ 1	6,431,767	08/13/02	Nagasaki
____ 2	6,134,362	10/17/00	Au-Yeung, et al.
____ 3	6,408,119	06/18/02	Meltz, et al.
____ 4	6,404,954	06/11/02	Zhu, et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
	NONE		

Other Documents
(including author, title, pertinent pages, etc.)

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NONE

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Form PTO-1449

Applicant: Johnny Zhong, et al.
Serial No.: 10/712,066
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Sheet 2 of 2

Atty Docket No.: 15436.133.1
Group: Unknown

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

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Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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